

# Solar Neutrinos and the 2015 Nobel Prize



Scott Oser  
UBC/TRIUMF

Saturday Morning  
Lecture Series  
November 2016

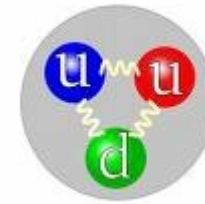
# *Outline*

1. What's a neutrino?
2. How do you detect neutrinos?
3. The solar neutrino problem
4. Neutrino oscillations
5. The road to Stockholm

# *Chapter 1: What's a neutrino?*

# *The Building Blocks of Matter*

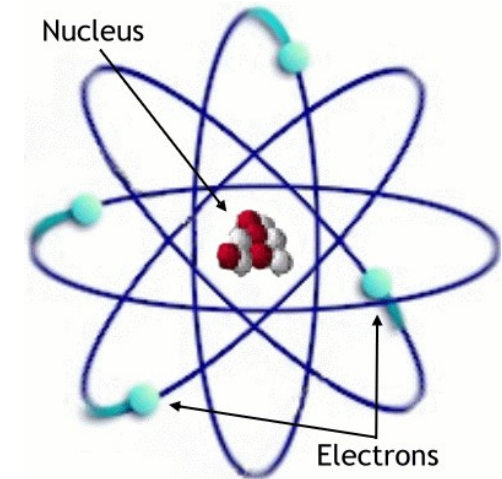
Quarks	I	u up	c charm	t top
		d down	s strange	b bottom
	II	e electron	$\mu$ muon	$\tau$ tau
		$\nu_e$ e neutrino	$\nu_\mu$ $\mu$ neutrino	$\nu_\tau$ $\tau$ neutrino
III				
The Generations of Matter				



Up and down quarks are inside protons and neutrons

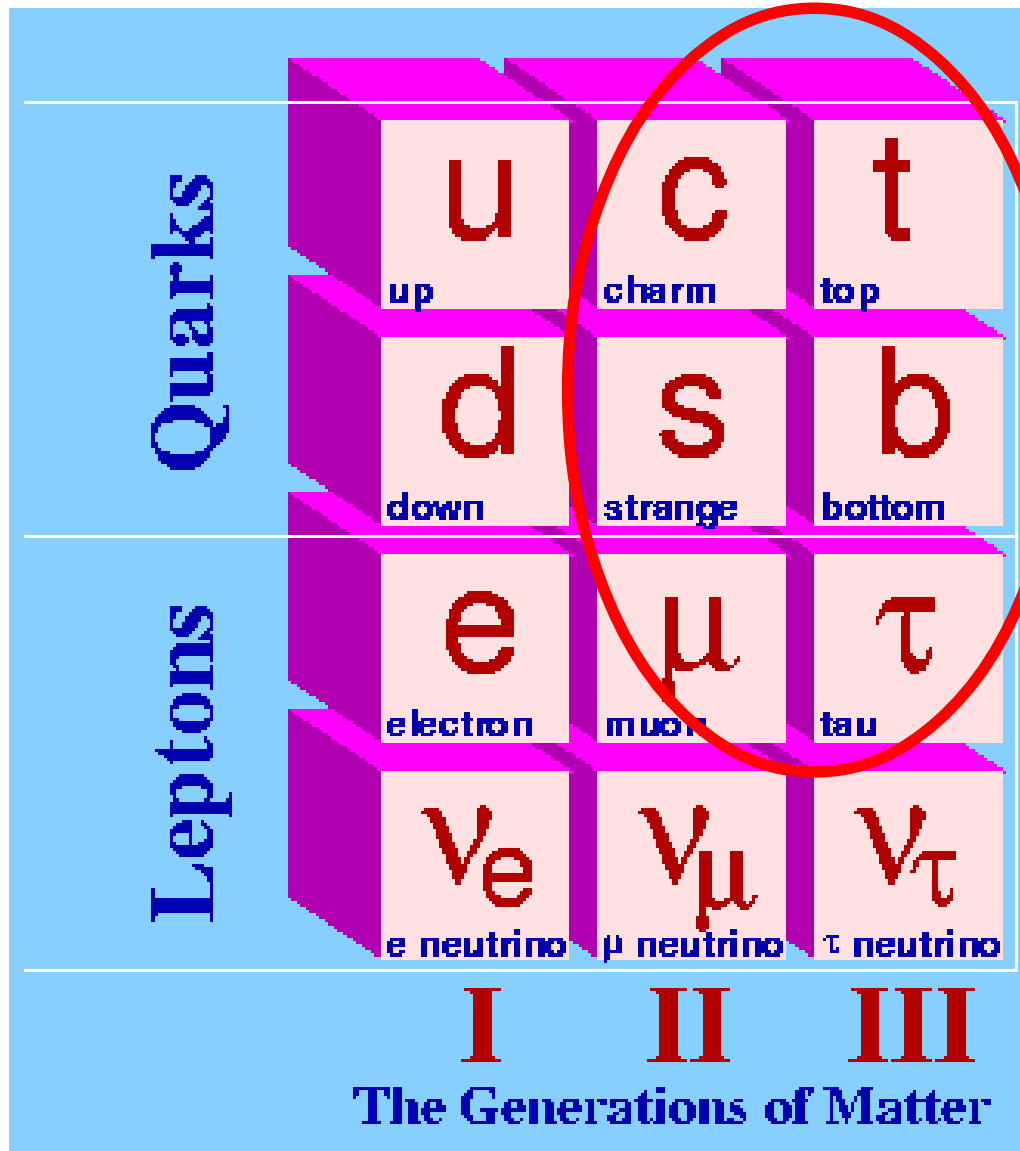
# *The Building Blocks of Matter*

Quarks	u	c	t
	up	charm	top
	d	s	b
	down	strange	bottom
	e	$\mu$	$\tau$
	electron	muon	tau
Leptons	$\nu_e$	$\nu_\mu$	$\nu_\tau$
	e neutrino	$\mu$ neutrino	$\tau$ neutrino
	I	II	III
The Generations of Matter			



Electrons orbit atoms, flow through wires, and are responsible for chemistry

# *The Building Blocks of Matter*



Heavier versions  
of quarks and  
electrons

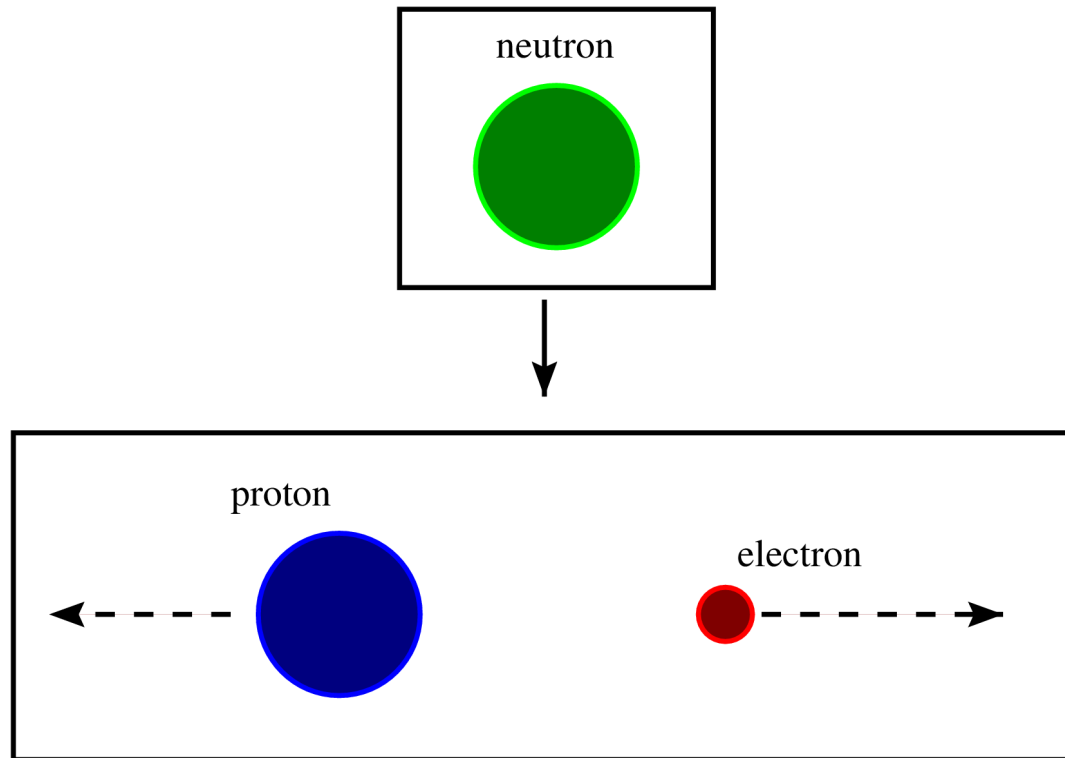
This stuff is here  
because nature  
likes things to come  
in threes. I wish I  
knew why!

# *The Building Blocks of Matter*

Quarks	I	u up	c charm	t top
		d down	s strange	b bottom
	II	e electron	$\mu$ muon	$\tau$ tau
		$\nu_e$ e neutrino	$\nu_\mu$ $\mu$ neutrino	$\nu_\tau$ $\tau$ neutrino
	III			
The Generations of Matter				

What's this?!?

# *A Problem With Beta Decay*



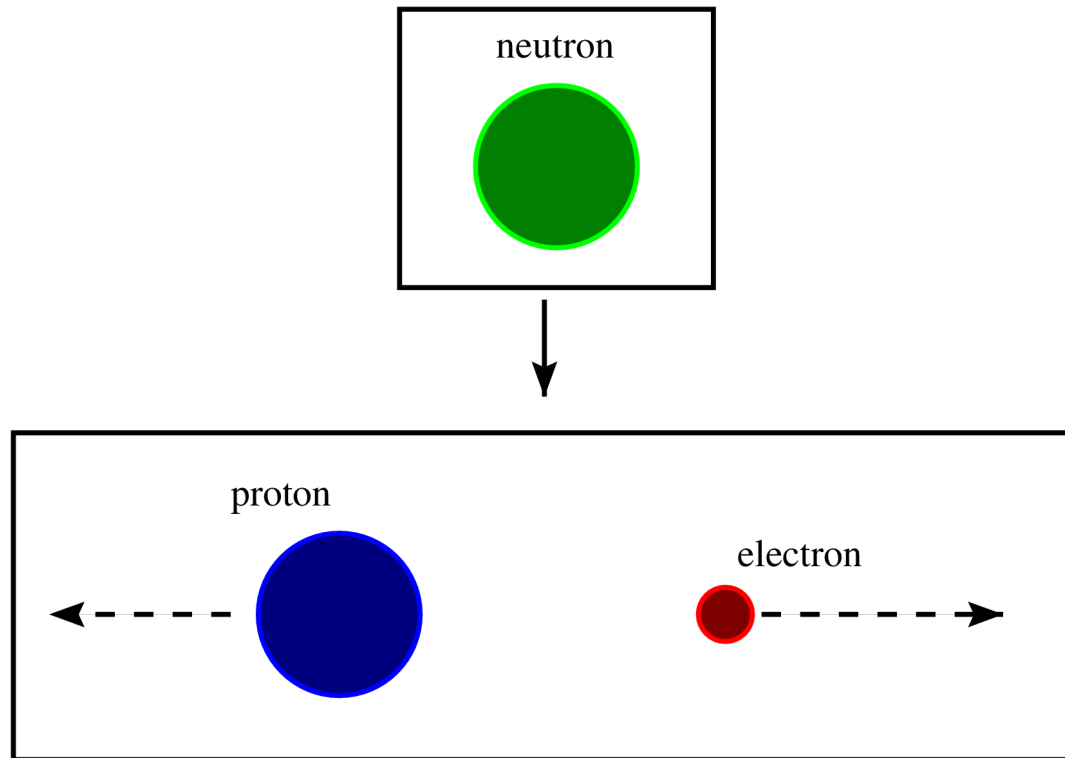
Neutrons were observed to radioactively decay into a proton and an electron.

If neutron is at rest, then proton and electron fly off with equal and opposite momenta.

Their total energy must equal the energy of the neutron ( $E=mc^2$ )



# *A Problem With Beta Decay*



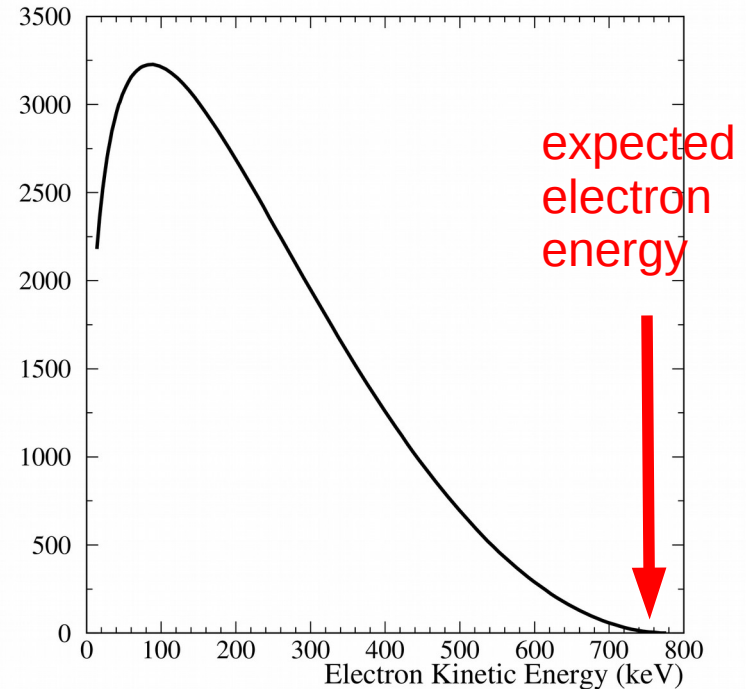
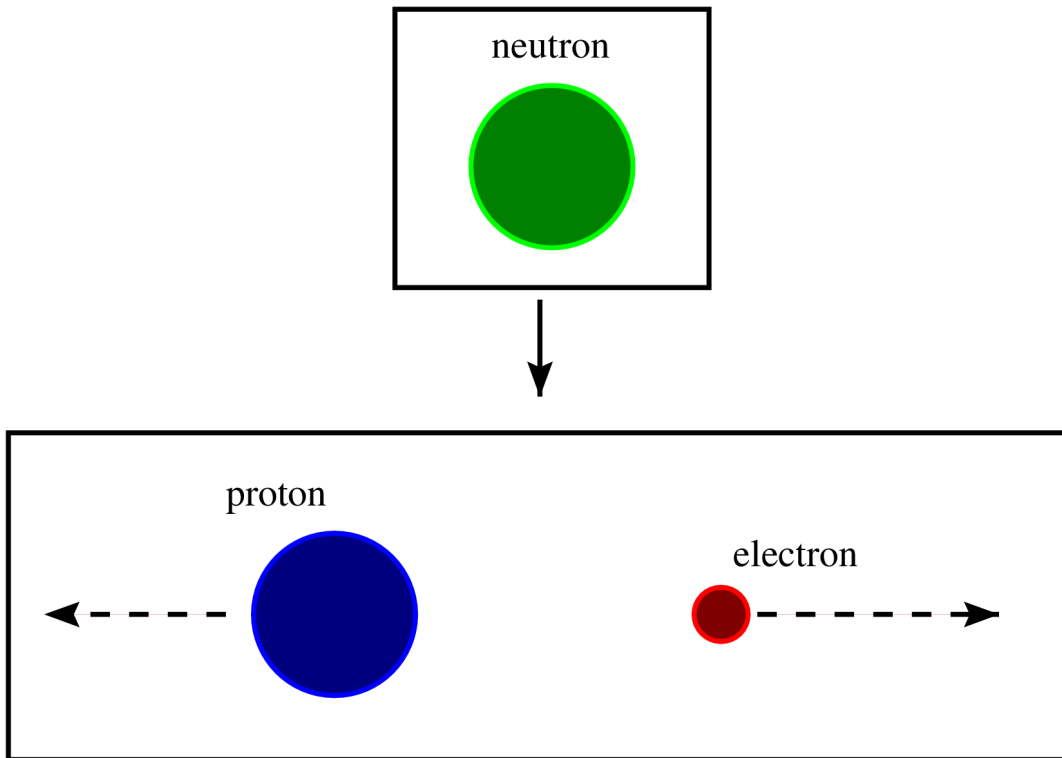
Neutrons were observed to radioactively decay into a proton and an electron.

If neutron is at rest, then proton and electron fly off with equal and opposite momenta.

The electron should always have the same energy!

Their total energy must equal the energy of the neutron ( $E=mc^2$ )

# *A Problem With Beta Decay*



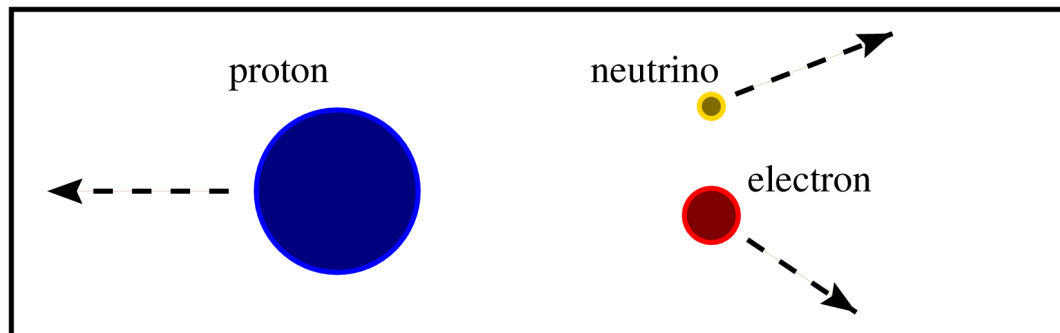
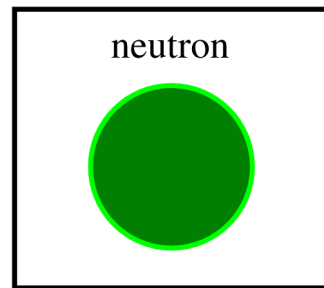
The data disagree! Electrons have a wide range of energies, always less than the expected amount.

# *Wolfgang Pauli's desperate gambit*



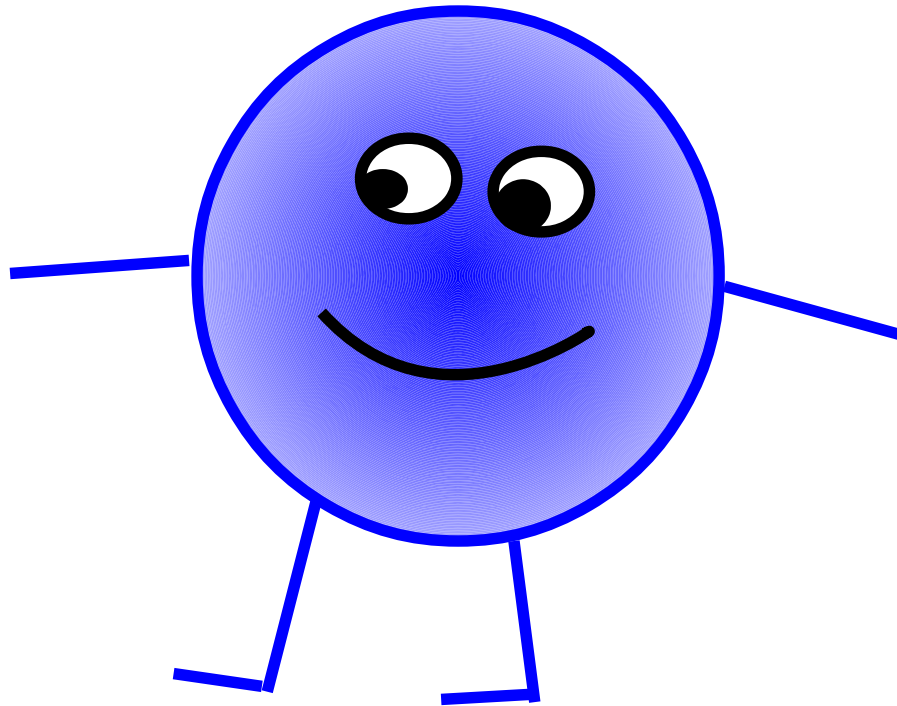
Either energy isn't conserved in nuclear decays, or else the energy is going somewhere we can't see!

In 1930 Wolfgang Pauli proposes a desperate measure ... some unseen neutral particle must be carrying away some of the energy.



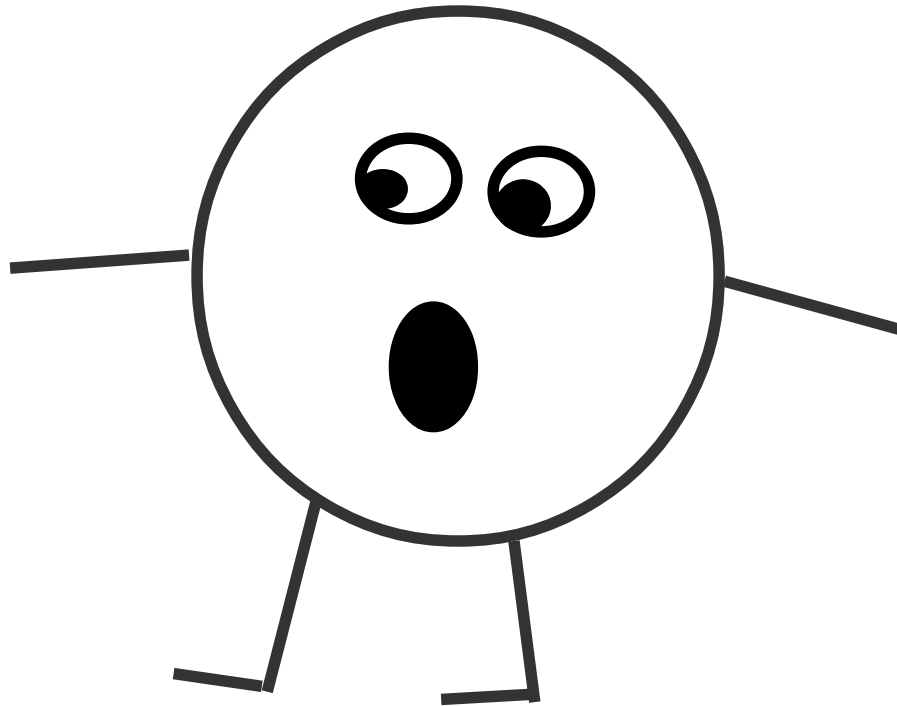
*This particle has to be virtually massless and chargeless!*

# *How to make a neutrino*



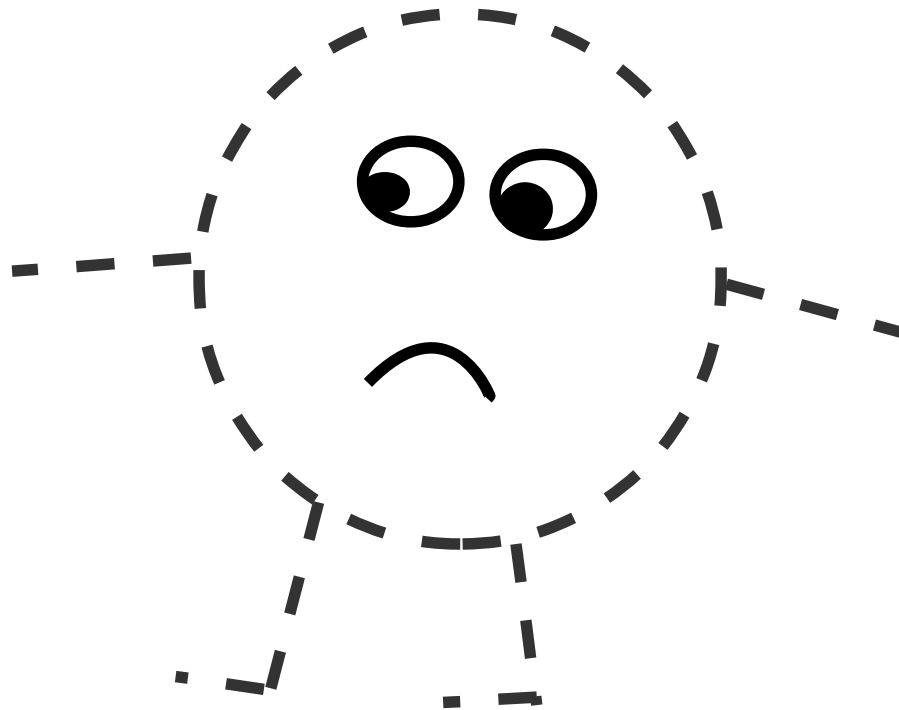
Start with  
an electron.

# *How to make a neutrino*



Now take  
away his  
electric  
charge.

# *How to make a neutrino*

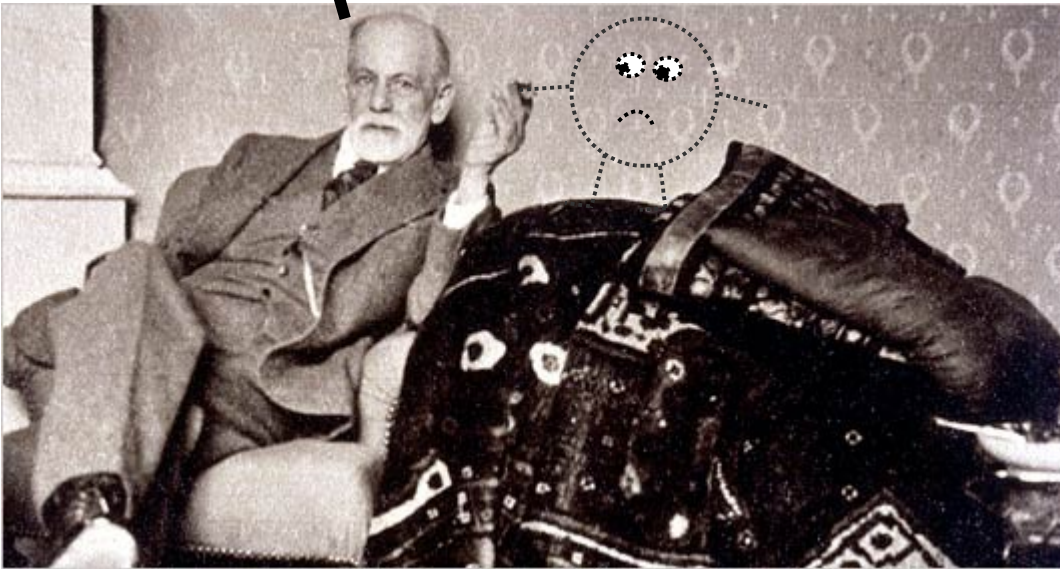


Then take  
away his  
mass!

# *How to make a neutrino*

“You are experiencing a profound sense of loss from the removal of your charge and mass. Now, tell me about your mother.”

Finally, provide some counselling to help him deal with the resulting identity crisis.



# *The particle that is barely there*

If you have no mass and no charge, what's left?  
Very little it turns out ...



Neutrinos still have energy and carry momentum.

They carry angular momentum (spin) as well.

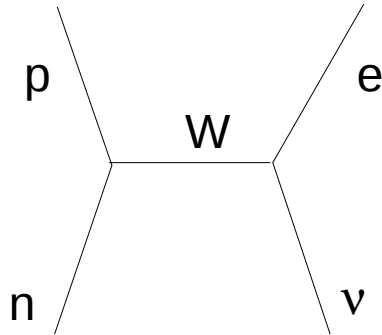
WEIRD fact: neutrinos always spin the same direction,  
which is different from other particles!



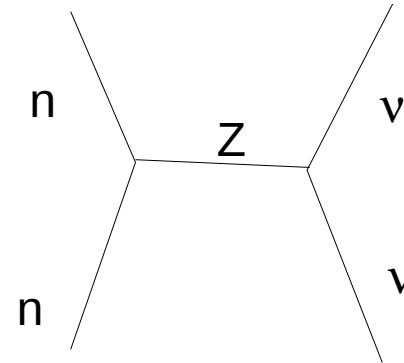
And they have interactions ...



# *Basic neutrino interactions*



“Charged current”:  
convert a  
neutrino into an  
electron, with a  
W particle  
carrying charge  
& momentum  
away

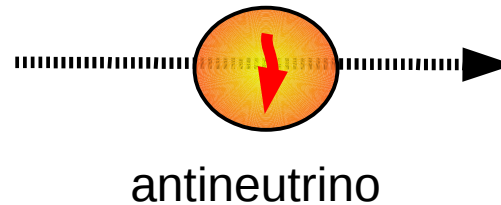
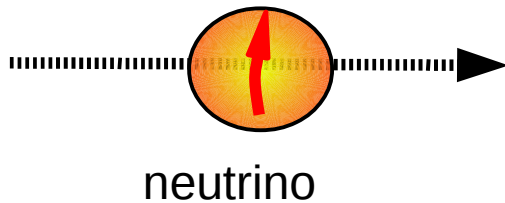


“Neutral current”:  
the neutrino  
survives, but some  
energy and  
momentum is  
transferred by a Z  
particle

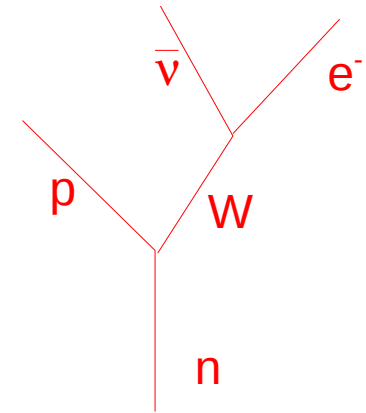
# Antineutrinos

Like all other particles, neutrinos have antiparticles. How do you tell a neutrino from an antineutrino?

1) Spins are opposite



2) Neutrinos can only be turned into electrons, but antineutrinos can only be turned into positrons (antielectrons):



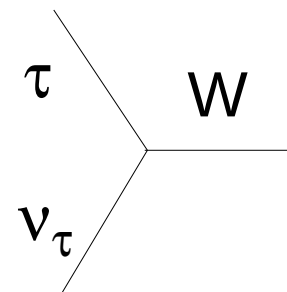
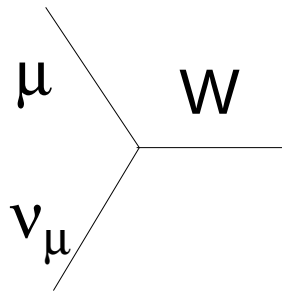
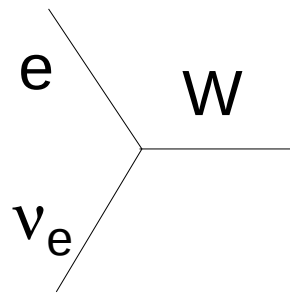
$$n + \nu \rightarrow p + e^-$$

$$p + \bar{\nu} \rightarrow n + e^+$$

$$n \rightarrow p + \bar{\nu} + e^-$$

# *Three flavours of neutrinos*

Like quarks and electrons, neutrinos come in 3's. The distinction is what kind of charged lepton they couple to:



The result is there's something like “electron-ness” or “mu-ness” or “tau-ness” that gets carried by the neutrino.

If for example a particle decays to make a  $\mu$  and a  $\nu_\mu$ , then that neutrino later on should only ever be capable of making a  $\mu$ . **CONSERVATION OF FLAVOUR.**

# *Chapter 2: How do you detect neutrinos?*

# *The shy particle*

Neutrinos are notoriously difficult to detect because they have a very small probability of interacting with regular matter!

A charged particle like an electron exerts a long-ranged electric force on other charged particles. An electron passing by a proton can exert a measurable force from meters away.

Neutrinos have only weak interactions, which are extremely short-ranged. The range is determined by the masses of the W and Z particles, which are heavy (heavy mass = short range). Typical range is on the order of a few  $\times 10^{-18}$  m---a thousand times smaller than a proton.

A neutrino interacts only with a nearly perfect “head-on” collision.

# *Shielding against neutrinos*



Lead bricks are a usual way of blocking radioactivity (think of the lead apron you wear during a dental X-ray)

To block a neutrino, the lead has to be about one light year thick (10 trillion kilometers) !!!!

Almost always, a neutrino passes right through matter without hitting anything or stopping.

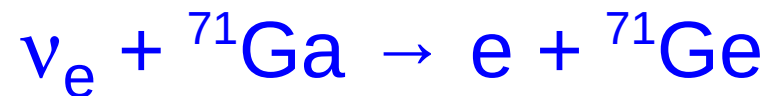
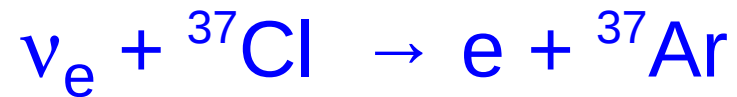
# *Three requirements for detecting neutrinos*

Because it's extremely rare for a neutrino to interact in a detector, the detectors have to satisfy three requirements:

- 1) **BIG**: The more mass, the more targets for the neutrinos to hit. Shoot for tonnes or kilotonnes.
- 2) **DEEP**: On the surface, cosmic rays from space swamp most neutrino signals. Bury the detector underground.
- 3) **CLEAN**: Avoid even small amounts of radioactive materials, to prevent radioactive decays from swamping the signal.

# *Radiochemical detection*

Neutrinos can cause nuclear transmutations by converting protons  $\leftrightarrow$  neutrons:



So one approach is to get a big mass of some element, expose it to neutrinos, then chemically count how many atoms have turned into other elements.



# Homestake Experiment

600 tonnes of cleaning fluid ( $\text{C}_2\text{Cl}_4$ ) in a big tank deep underground

Look for  $\nu$ -induced  $\text{Cl} \rightarrow \text{Ar}$

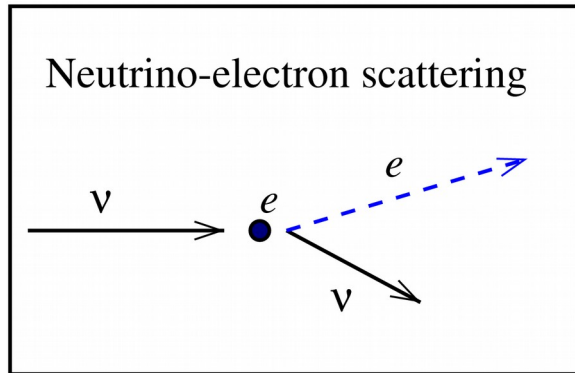
Nobel Prize winner Ray Davis swimming in the water shield--- 1.5km underground!



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# Cherenkov detection



Neutrino interactions often produce energetic charged particles.

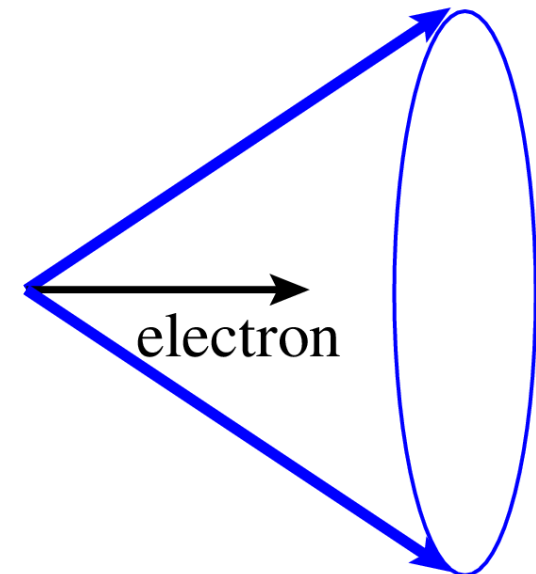
These particles can be moving faster than the speed of light in water (since water has slowed down the light).

This creates Cherenkov light---an electromagnetic sonic boom!

- Light is blue
- Comes out in cone
- More energy-more light



Cherenkov cone

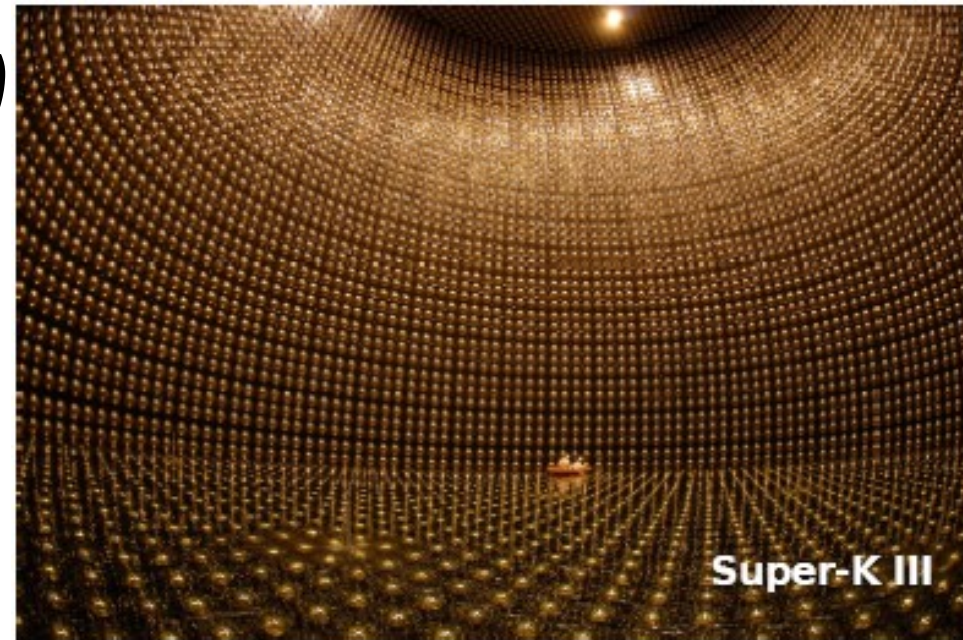




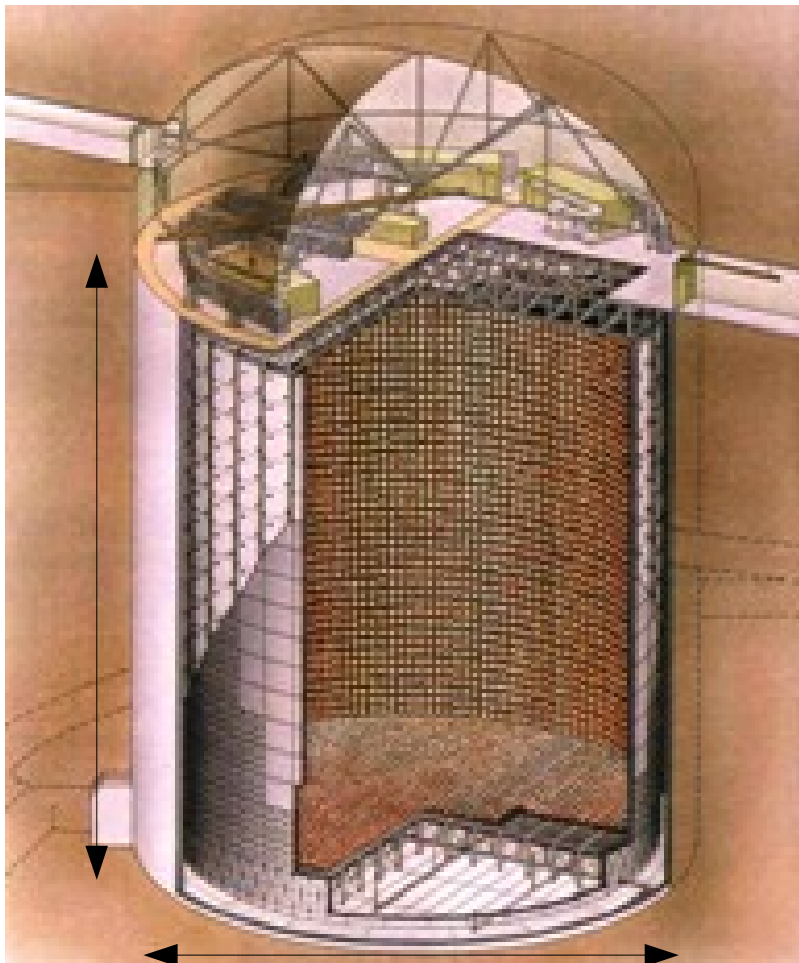
# *Super-Kamiokande (Japan)*

50 kilotonne tank of water with  
11,000 photomultiplier tubes inside  
it!

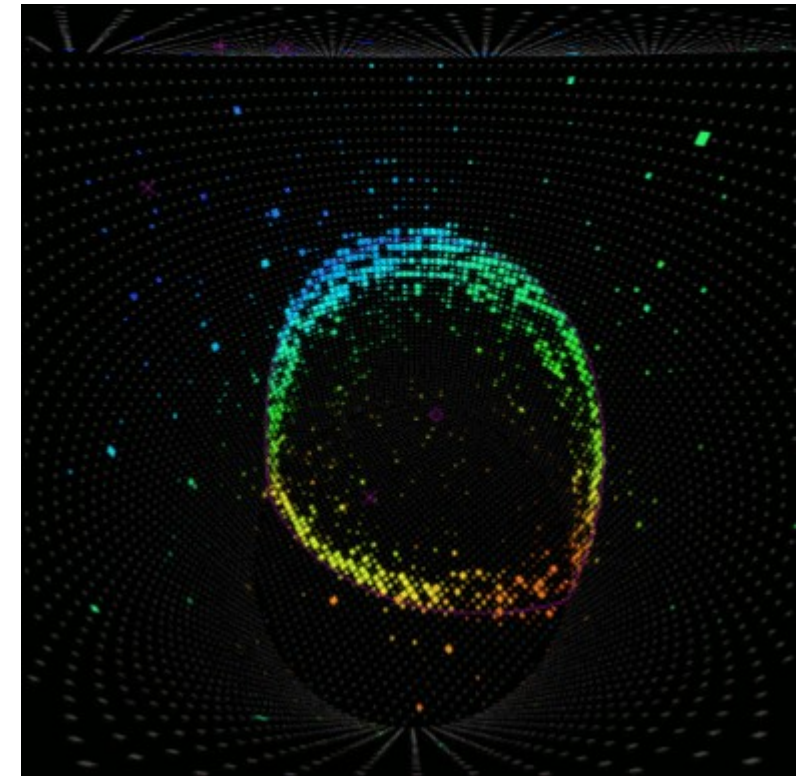
Tubes detect light from Cherenkov  
cone



41m tall

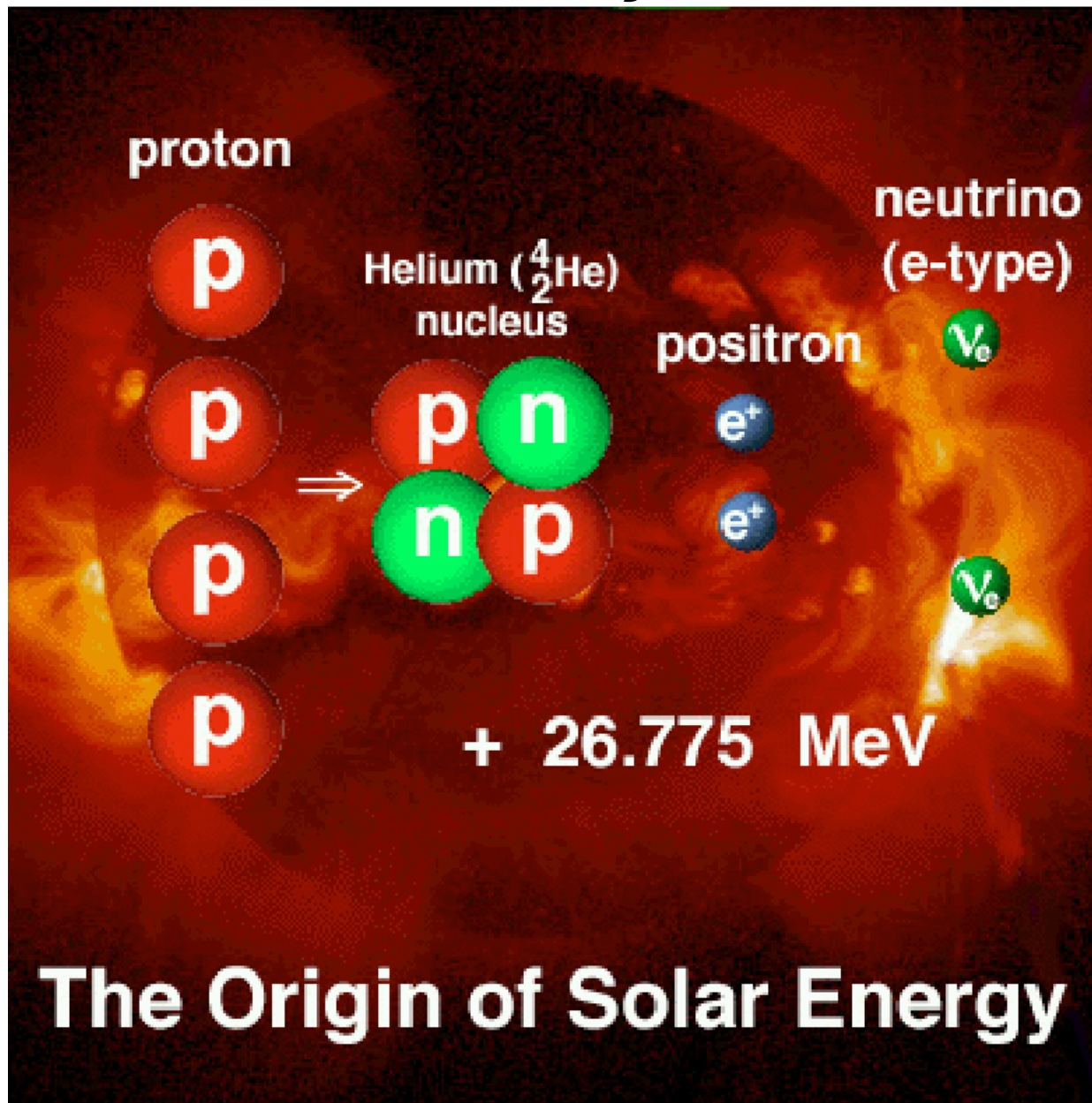


39m wide



# *Chapter 3: The solar neutrino problem*

# *Our friend the Sun*



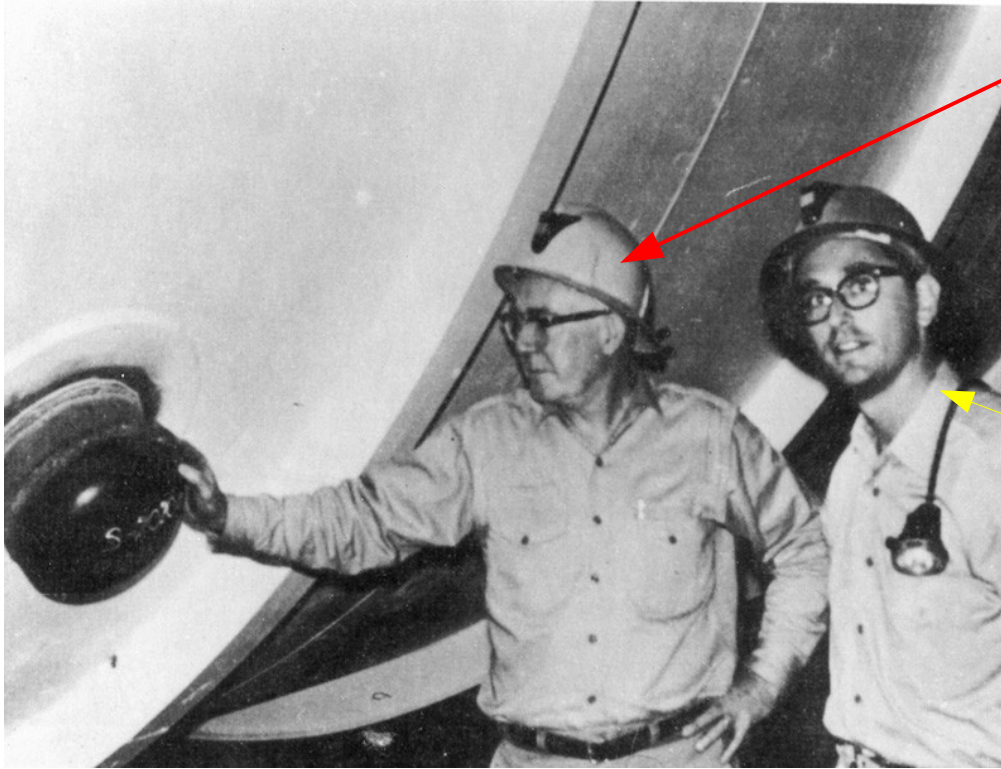
The Sun's fusion reactions produce copious quantities of electron neutrinos!

We know how bright the Sun is and how the fusion reactions work ...

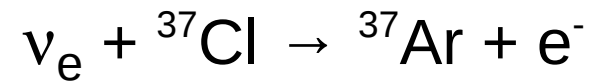
so we calculate that 60 billion neutrinos from the Sun pass through your thumbnail every second!



# *Looking for solar neutrinos at Homestake*



Remember Ray Davis and his big tank of cleaning fluid?



Theorist John Bahcall predicted that solar neutrinos would produce 5.7 atoms/day of Ar in the 600 tonne tank.

Ray went looking for them ...

Expected rate:  $5.7 \pm 0.9$  atoms/day

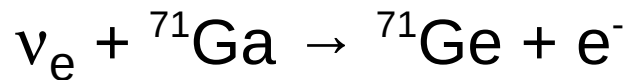
Measured rate:  $1.9 \pm 0.2$  atoms/day

*Two-thirds of the solar neutrinos were missing!*

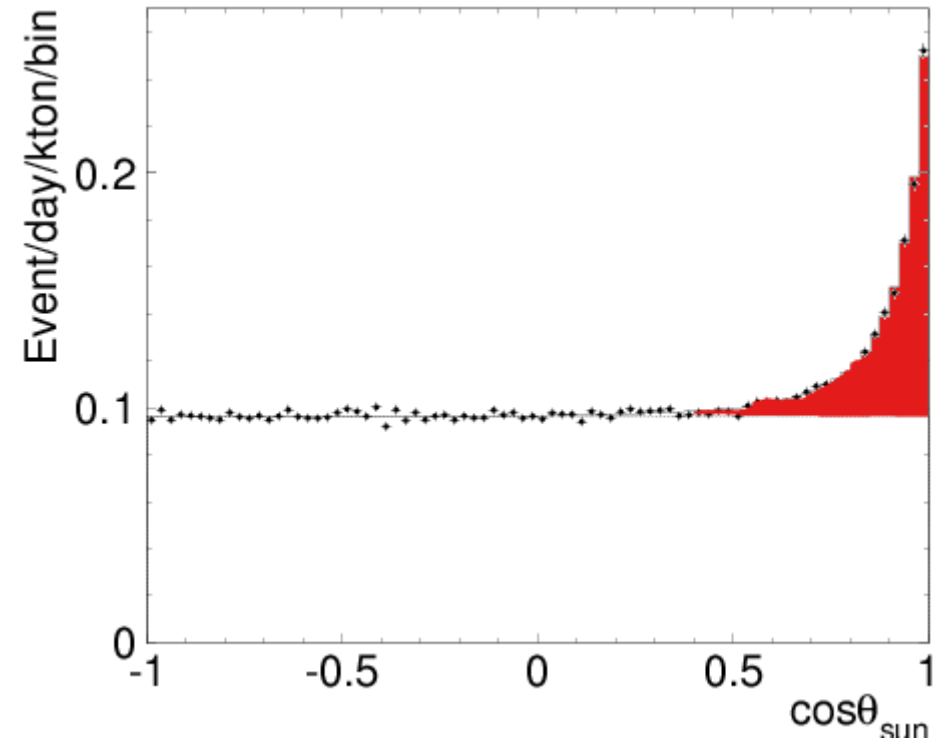
# *Other solar neutrino experiments also find too few neutrinos!*



GALLEX experiment: big tank of liquid gallium



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(direction of neutrino relative to Sun)

Super-Kamiokande sees electrons knocked away from the Sun by neutrinos ... but not enough!

## *What's going on?!?*

Multiple experiments looked for neutrinos coming from the Sun, and found fewer than expected.

- Are the experiments wrong?
- Is something wrong with the Sun?
- Is something wrong with the neutrinos?

This quandry is known as the

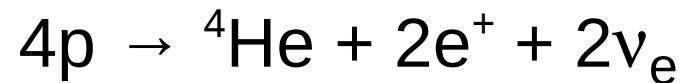
**solar neutrino problem.**



# *Chapter 4: Neutrino oscillations*

# *A possible answer to the solar neutrino problem*

The Sun should only make electron neutrinos:



So the experiments to look for solar neutrinos only looked for electron neutrinos.

What if the electron neutrinos turned into  $\nu_\mu$  or  $\nu_\tau$  on their way to Earth?

It would look like the Sun was putting out too few neutrinos, but in reality the missing ones would still be there, but just not detectable by the usual experiments!

**But how could a neutrino change its type?**

# *Neutrino Mixing*

Neutrino mixing is the idea that the neutrinos we always thought were basic particles, such electron or muon neutrinos, are actually mixtures of other particles ...

$$\nu_e = \nu_1 + \nu_2$$

I don't mean that if you looked inside an  $\nu_e$  that you would see two little particles  $\nu_1$  and  $\nu_2$  inside. Rather, in a weird quantum mechanics way, it's both at once!

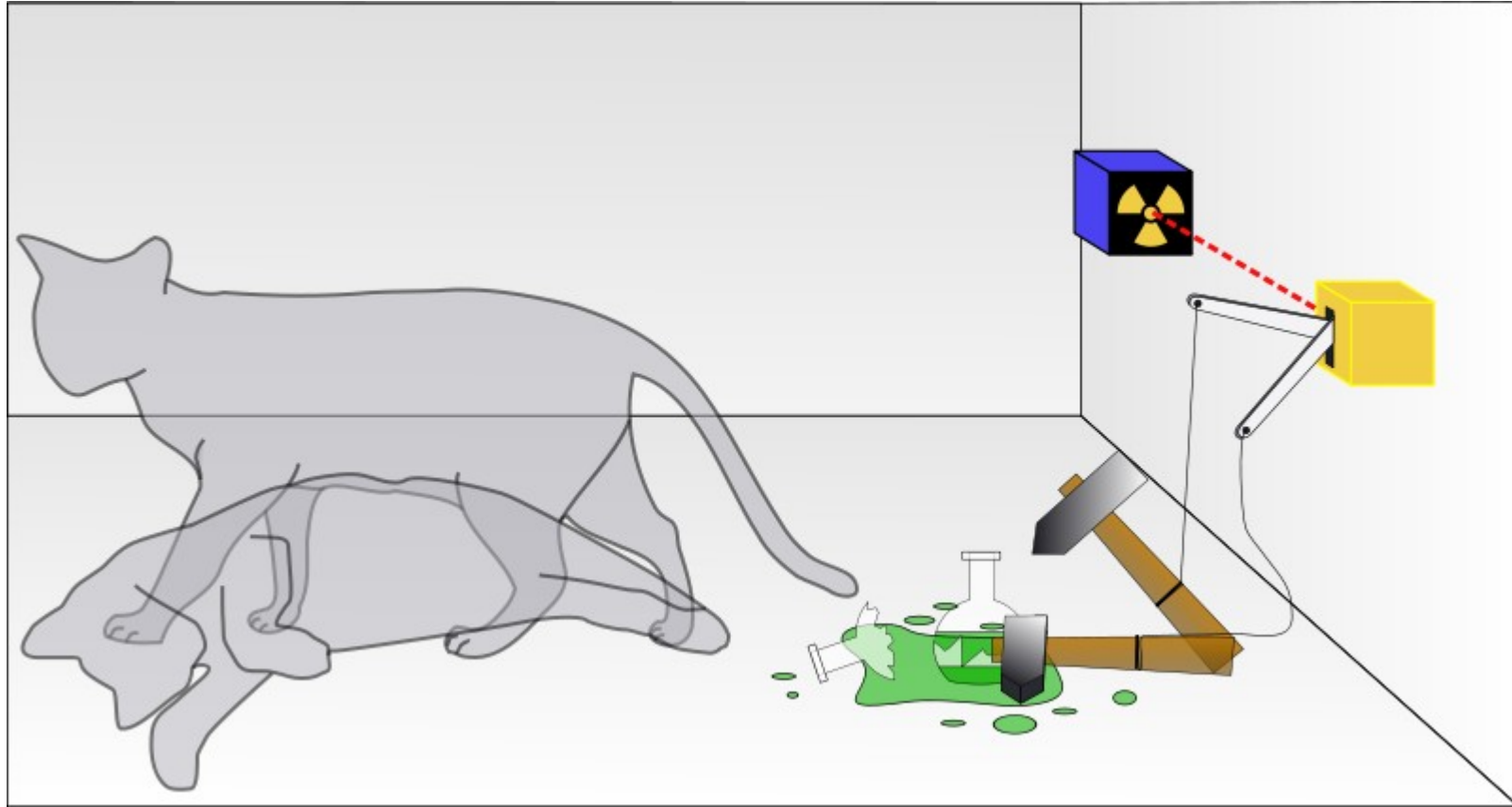
## *How can it be both at once?!*

This is the weirdest thing I have to explain, because it's quantum mechanics, and quantum mechanics is just weird.

Quantum mechanics says that subatomic particles can exist in superpositions, in which they act as if they are simultaneously in two opposite states!

The canonical example is Schrödinger's cat ...

# *Poor Kitty!*

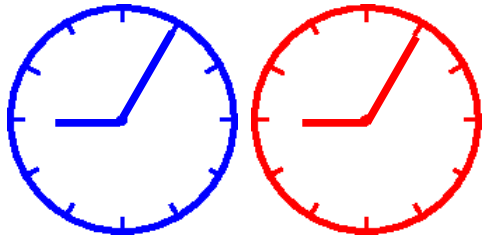


If a random radioactive decay happens, poison is released and the cat dies!

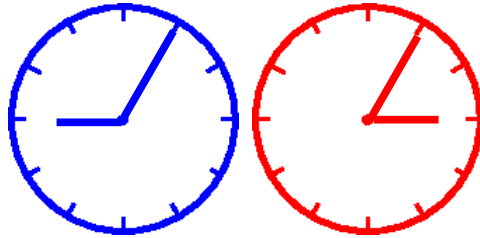
If you put the cat in a box, cover it up, and don't look, is the cat alive or dead? QM says that until you look, it's both!

# *A way to think about 2-component neutrinos*

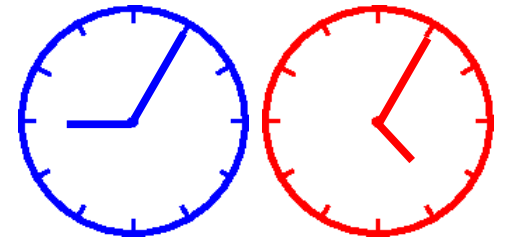
Imagine each neutrino as a pair of clocks



If both clocks read the same time, the neutrino acts like an electron neutrino.

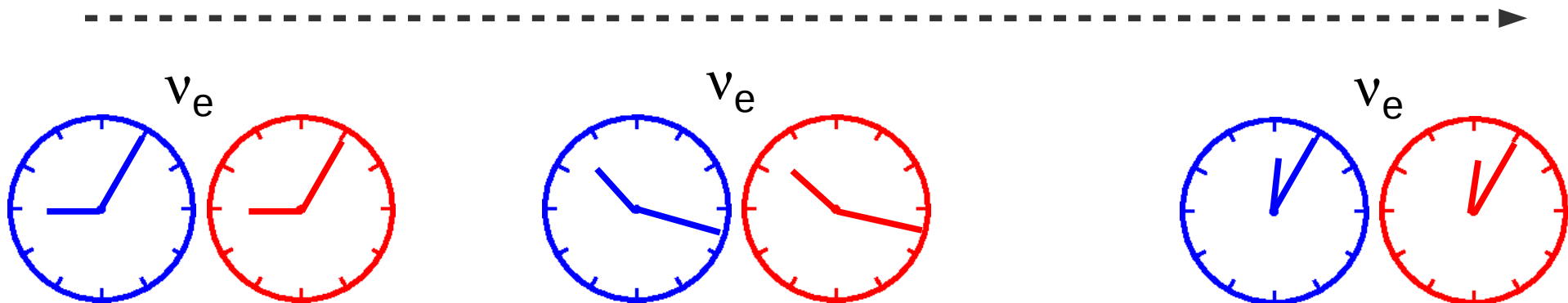


If the red clock is 6 hours ahead, the neutrino acts like a muon neutrino.



If the red clock is 4 hours ahead or four hours behind, then  $\frac{2}{3}$  of the time it acts like a  $\nu_\mu$ , and  $\frac{1}{3}$  of the time like a  $\nu_e$

*Neutrinos are created as either  $\nu_e$  or  $\nu_\mu$*

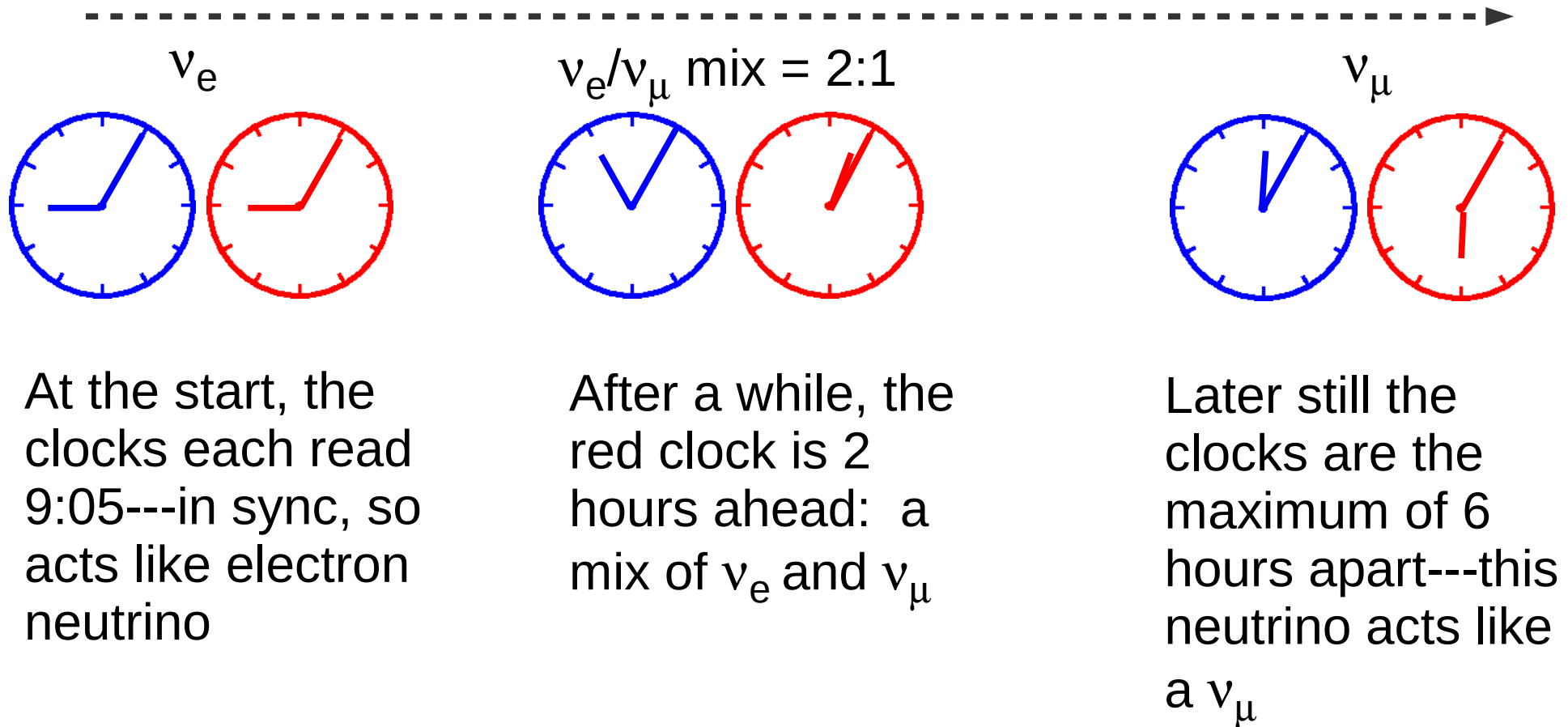


At the start, the clocks each read 9:05---in sync, so acts like electron neutrino

After a while, the clocks both read 10:17---still synchronized, still an electron neutrino

At a later time the situation is the same---clocks stay in sync!

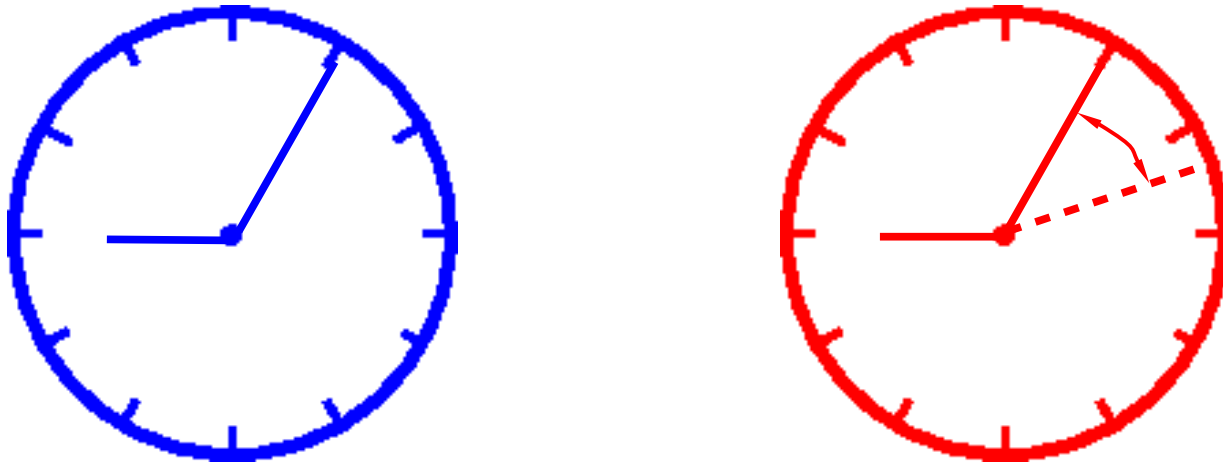
# *What if the clocks get out of sync?*



What started out as an electron neutrino can then act like a muon neutrino!



# *What makes clocks get out of sync?*



It works out that quantum mechanically what controls the rates of the clocks are the masses and energies of the two kinds of neutrinos  $\nu_1$  and  $\nu_2$ .

If  $\nu_e$ 's and  $\nu_\mu$ 's are really mixtures of  $\nu_1$  and  $\nu_2$  that happen to have different masses, then one flavour of neutrino can oscillate into another flavour over time.

# Neutrino Oscillations

The formula for a neutrino changing into a different kind is:

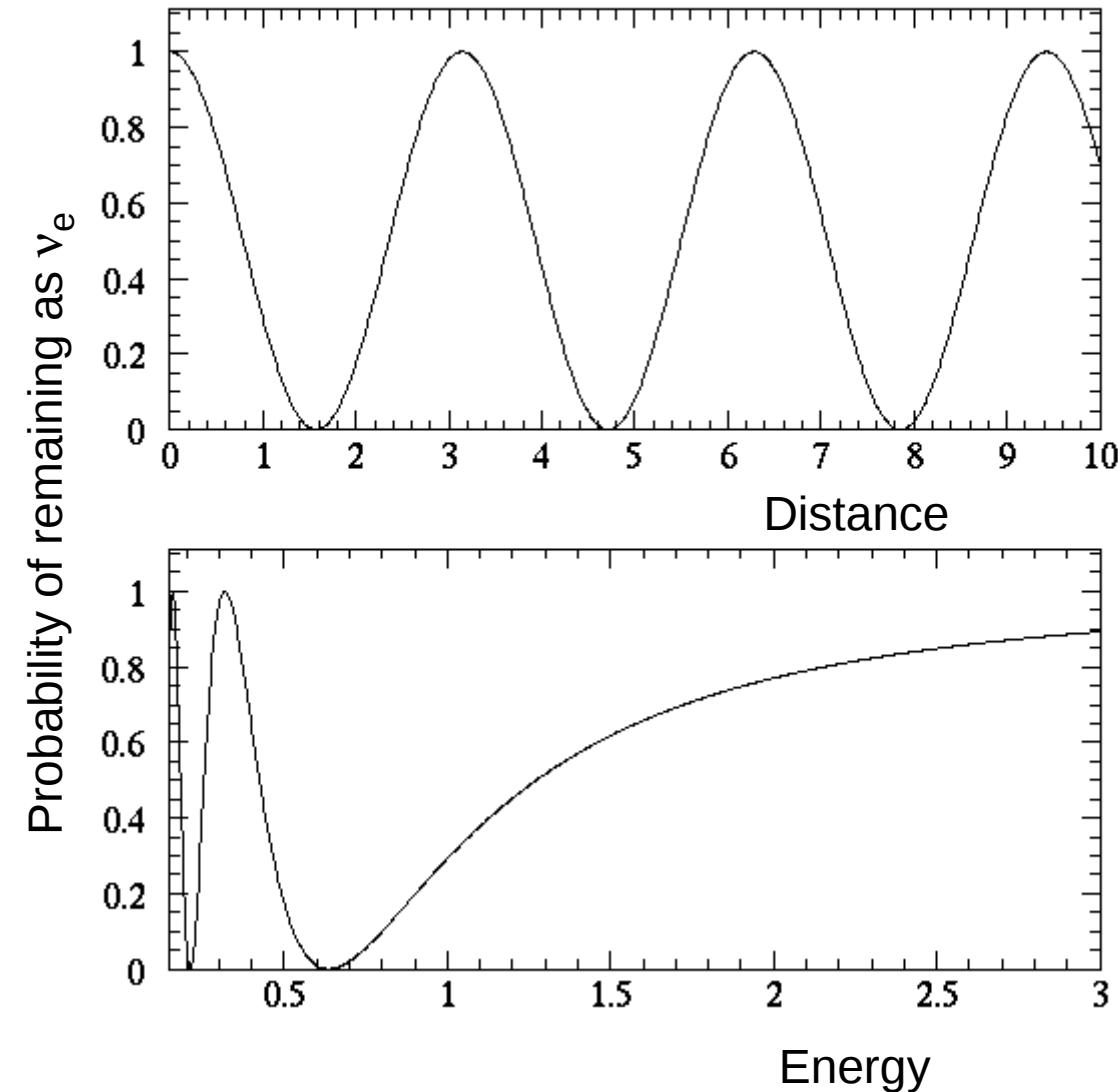
$$\sin^2 2\theta \sin^2 \left( \frac{1.27 \Delta m^2 L}{E} \right)$$

$\sin^2 2\theta$  = a parameter that controls the amplitude of the oscillation (the maximum fraction that can convert)

$\Delta m^2 = (\text{mass}_2)^2 - (\text{mass}_1)^2$

$L$  = distance neutrino has gone

$E$  = energy of neutrino



# *Chapter 5: The path to Stockholm*

# *The Sudbury Neutrino Observatory: Inception*

- 1964: idea of using heavy water
- 1984: first SNO meeting
- 1990: SNO funded
- 1992-98: construction
- 1999: “first light”



Herb Chen (UC Irvine)

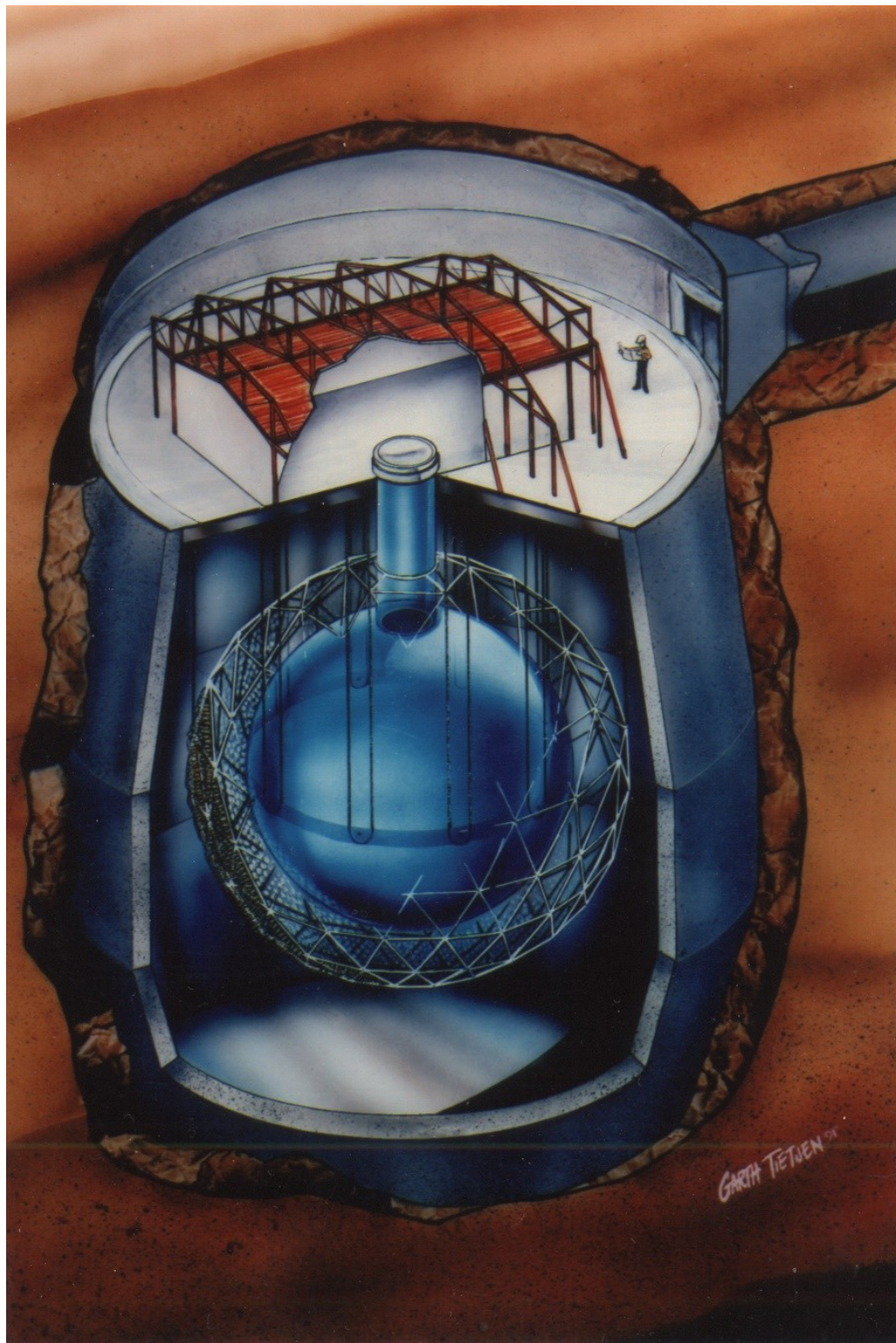


Early SNO meeting at Queen's  
Standing L-R: Art McDonald, Bill McLatchie, Cliff Hargrove (Carleton)  
Seated L-R; Hay-boon Mak, John Bahcall (Princeton), George Ewan

# *Solving the solar neutrino problem*

If electrons neutrinos from the Sun really are changing into other flavours on their way to us, why not look for these  $\nu_\mu$  or  $\nu_\tau$ ?

This was the goal of Canada's own Sudbury Neutrino Observatory, located 2km underground in Lively, ON.



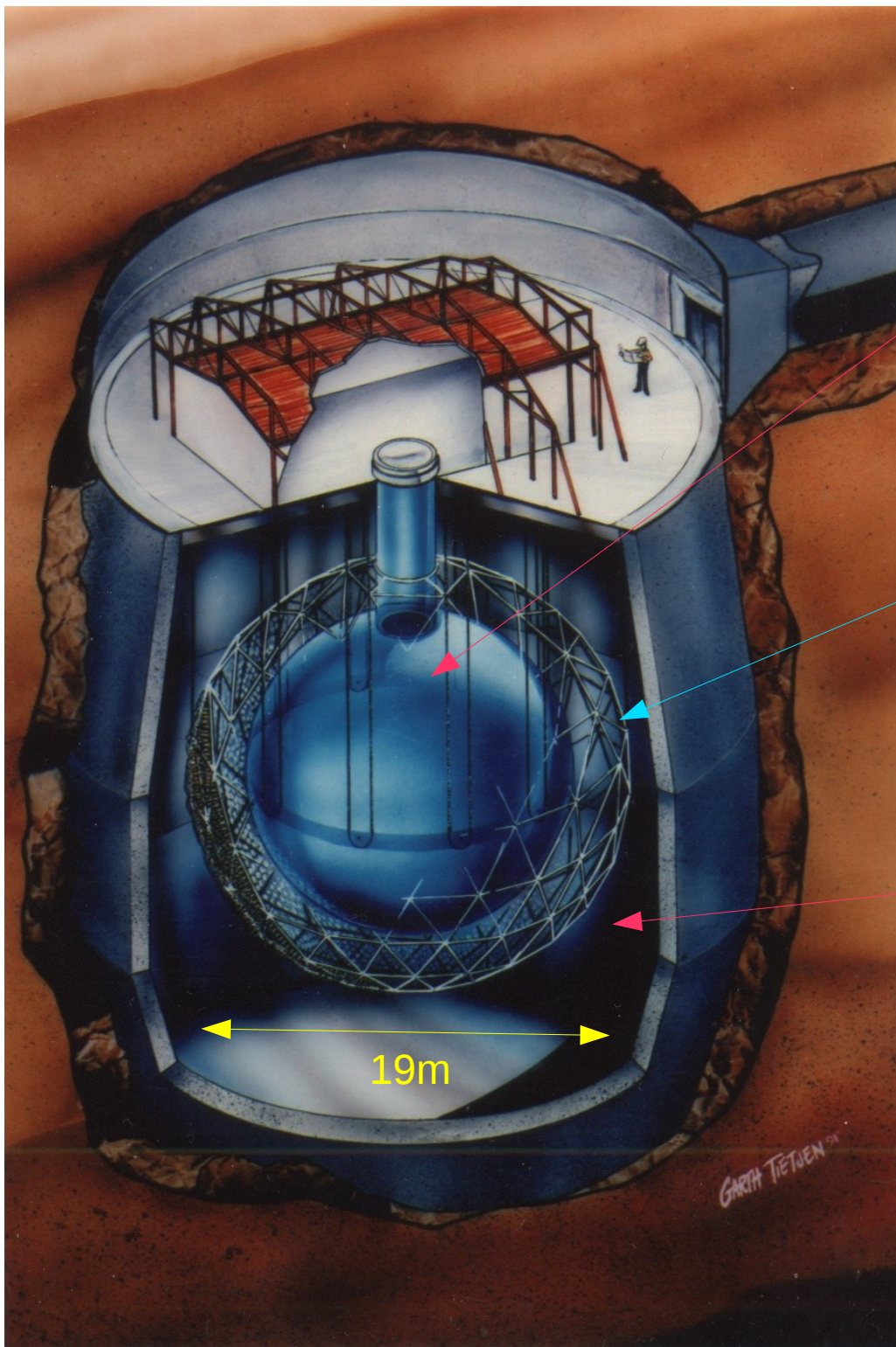


# SNO

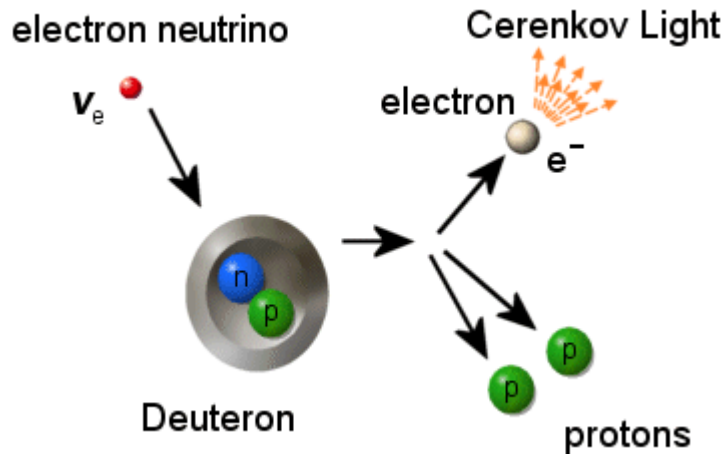
1000 tonnes of heavy water ( $D_2O$ ) inside a 12m wide spherical acrylic vessel, with a little NaCl (salt) mixed in

9500 inward-looking photomultiplier tubes to detect Cherenkov light from the heavy water

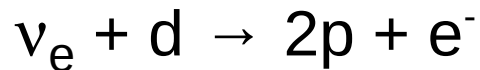
ultra-pure ordinary water ( $H_2O$ ) surrounding the sphere to act as shielding



# Neutrino Reactions On Deuterium



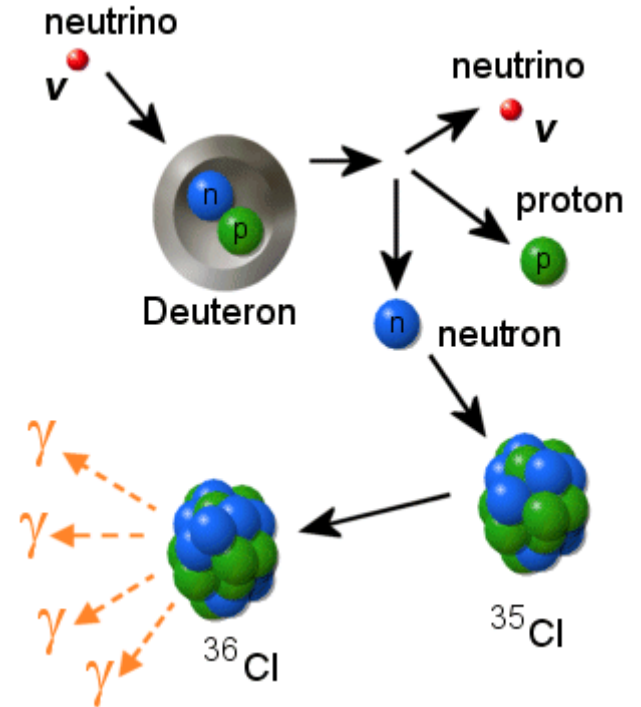
Electron neutrinos only:



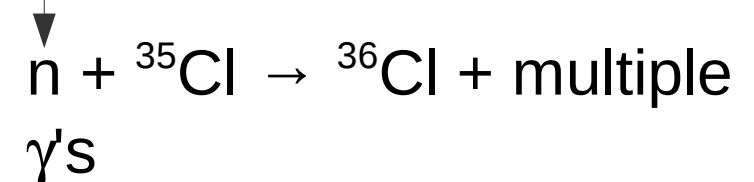
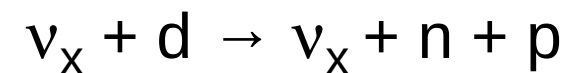
There are two possible reactions for solar neutrinos on deuterium.

One measures the flux of electron neutrinos.

The second measures all types!



Any type of neutrino:





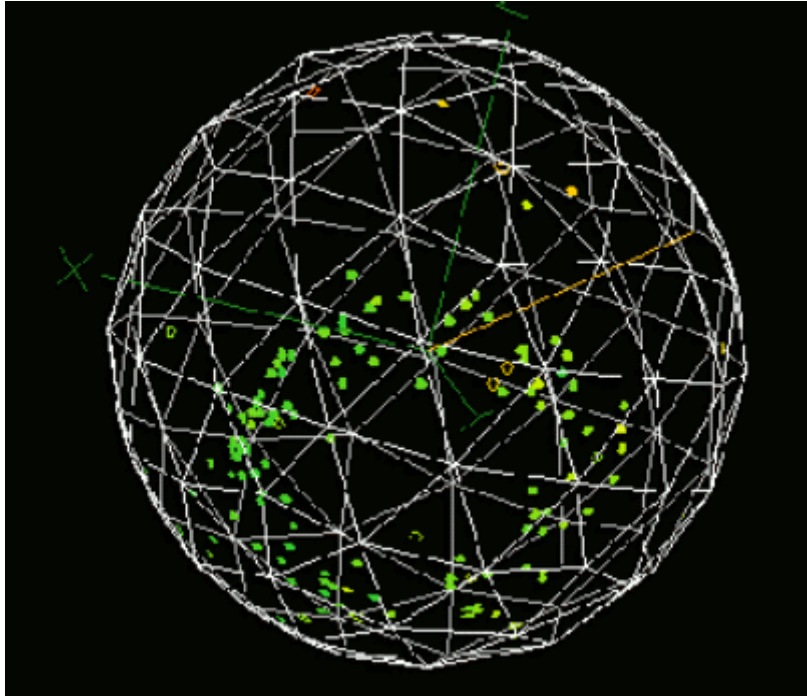




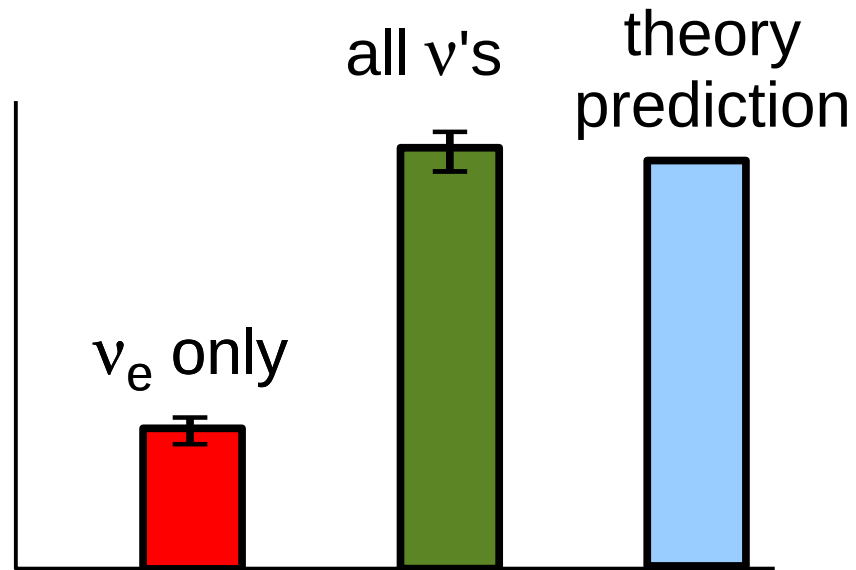




# *SNO Results (2001)*



A ring of Cherenkov light  
from an electron  
produced by a solar  
neutrino



Electron neutrino flux only 1/3 of  
model prediction

But total flux of all flavours agrees with  
theory!

**Neutrinos change flavour!**

# The SNO Collaboration

(at the time of the  
first physics  
papers)



G. Milton, B. Sur  
**Atomic Energy of Canada Ltd., Chalk River Laboratories**

S. Gil, J. Heise, R.J. Komar, T. Kutter, C.W. Nally, H.S. Ng,  
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**University of British Columbia**

J. Boger, R.L. Hahn, J.K. Rowley, M. Yeh  
**Brookhaven National Laboratory**

R.C. Allen, G. Bühler, H.H. Chen\*  
**University of California, Irvine**

I. Blevis, F. Dalnoki-Veress, D.R. Grant, C.K. Hargrove,  
I. Levine, K. McFarlane, C. Mifflin, V.M. Novikov, M. O'Neill,  
M. Shatkay, D. Sinclair, N. Starinsky  
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J. Bigu, J.H.M. Cowan, J. Farine, E.D. Hallman, R.U. Haq,  
J. Hewett, J.G. Hykawy, G. Jonkmans, S. Luoma, A. Roberge,  
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W.J. Heintzelman, P.T. Keener, J.R. Klein, C.C.M. Kyba, N. McCauley, D.S.  
McDonald, M.S. Neubauer, F.M. Newcomer, S.M. Oser,  
V.L. Rusu, T. Spreitzer, R. Van Berg, P. Wittich  
**University of Pennsylvania**

R. Kouzes  
**Princeton University**

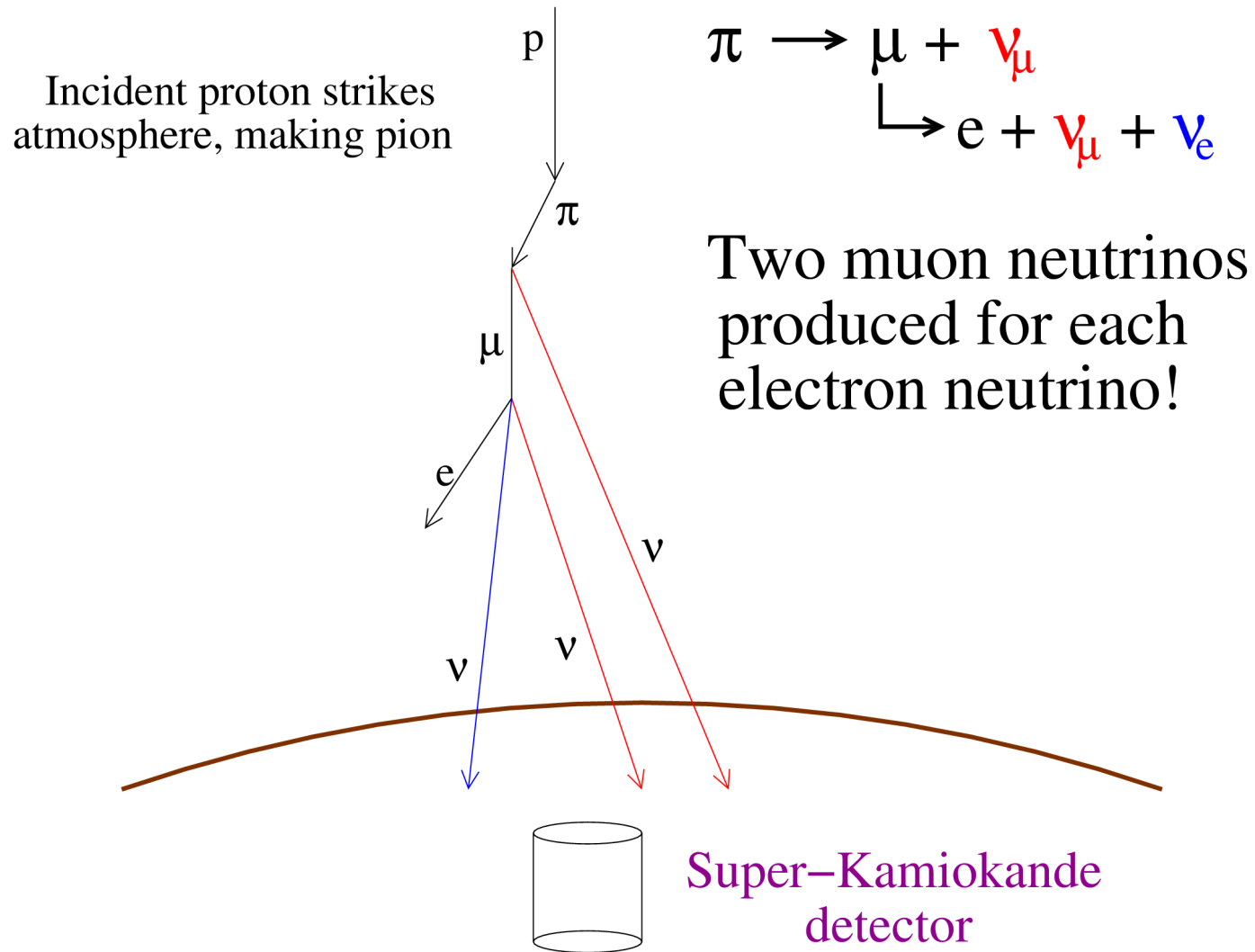
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W.B. Handler, P.J. Harvey, J.D. Hepburn, C. Jillings, H.W. Lee,  
J.R. Leslie, H.B. Mak, J. Maneira, A.B. McDonald, B.A. Moffat,  
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**Queen's University**

D.L. Wark  
**Rutherford Appleton Laboratory, University of Sussex**

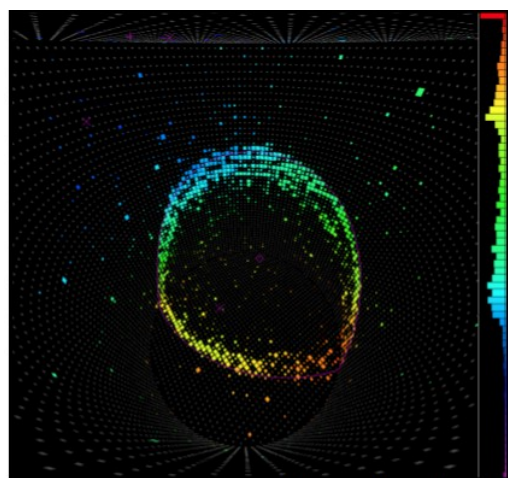
R.L. Helmer, A.J. Noble  
**TRIUMF**

Q.R. Ahmad, M.C. Browne, T.V. Bullard, G.A. Cox, P.J. Doe,  
C.A. Duba, S.R. Elliott, J.A. Formaggio, J.V. Germani,  
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M.W.E. Smith, T.D. Steiger, L.C. Stonehill, J.F. Wilkerson  
**University of Washington**

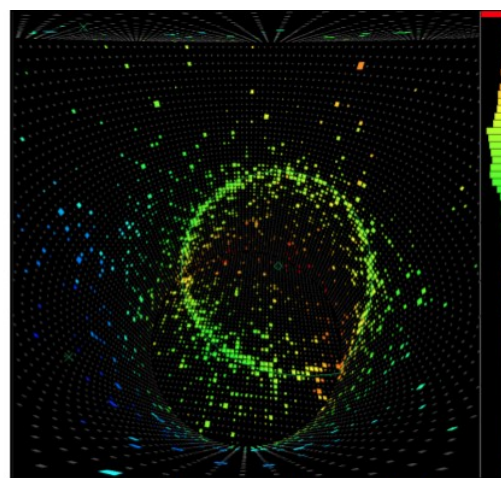
# Atmospheric Neutrinos



# Measuring $\nu_\mu$ vs $\nu_e$ at Super-K



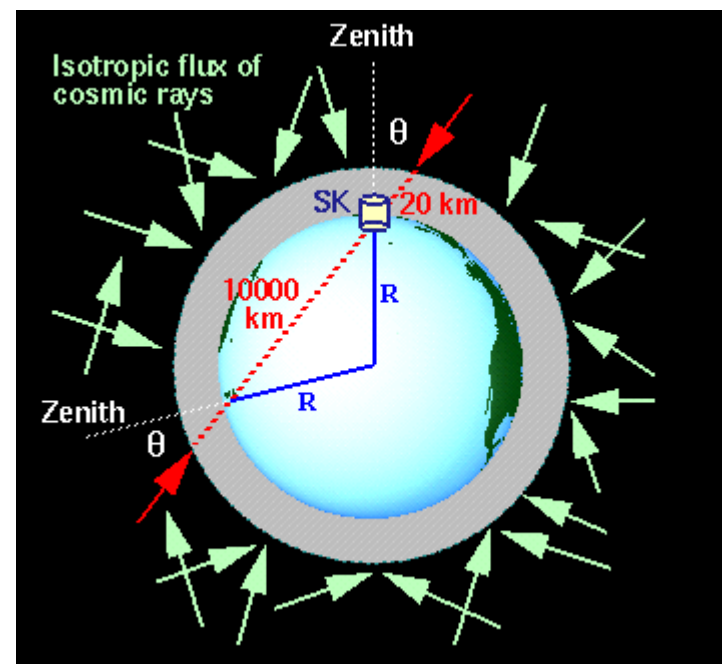
muon-like ( $\nu_\mu$ )



electron-like ( $\nu_e$ )

Electrons are light, so get buffeted around a lot as they move through the water in Super-K. Their rings get smeared out.

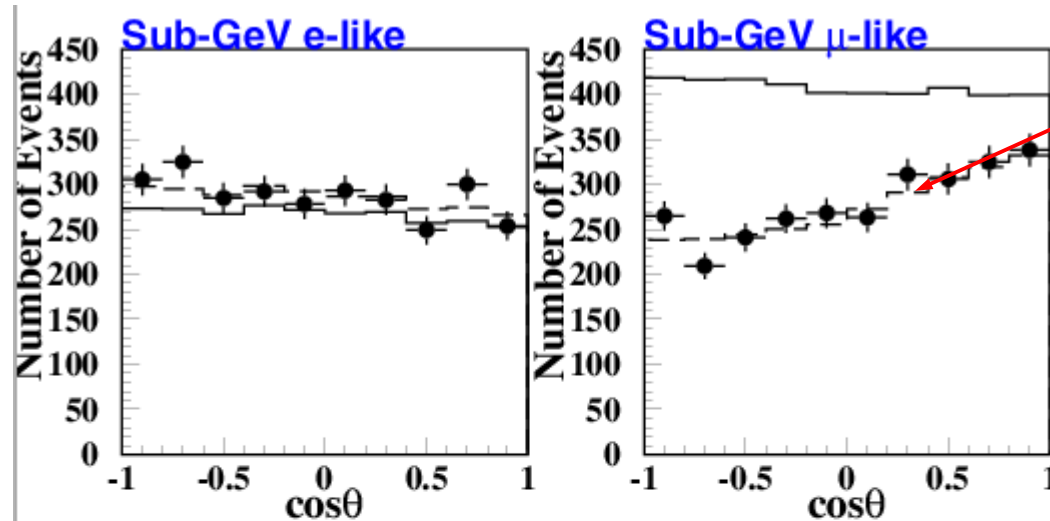
Muons give nice crisp rings.



Downward-going neutrinos come from close by, but upward-going from the far side of the Earth

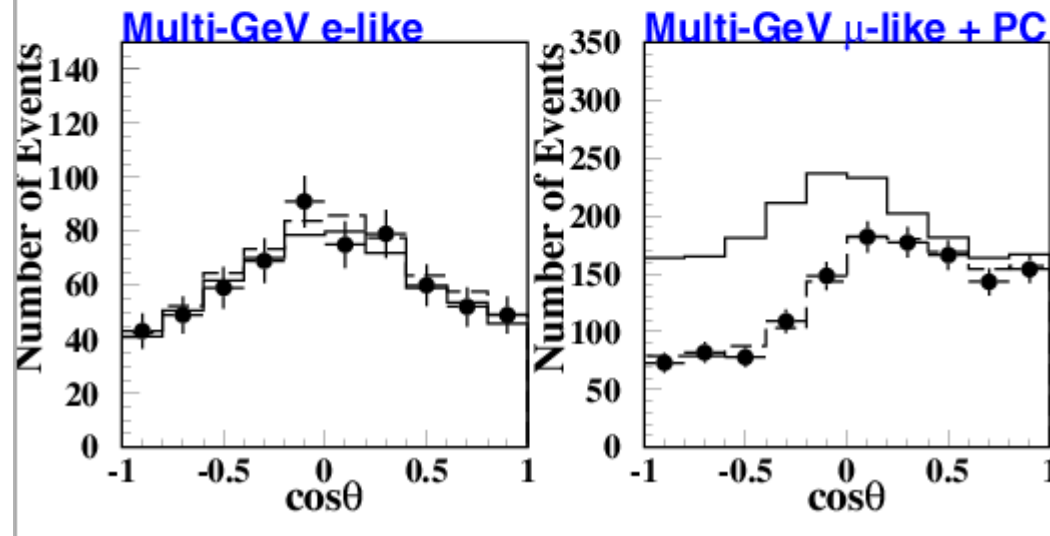
# *Super-K's atmospheric neutrino results*

lower energy



ratio of  
muons to  
electrons is  
1:1, not  
expected 2:1!

higher energy

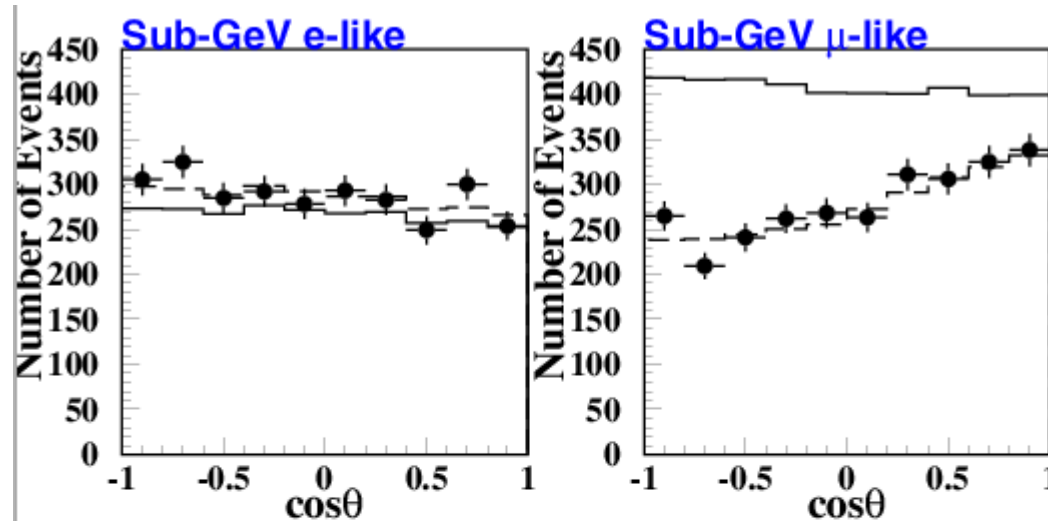


more upward-  
going muons  
are missing  
than  
downward-  
going!



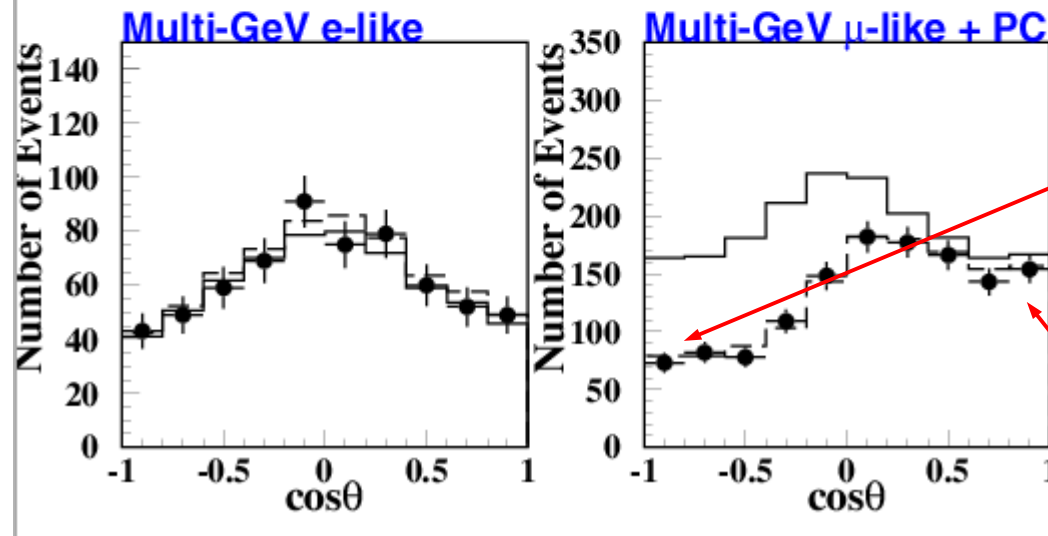
# Super-K's atmospheric neutrino results (1998)

lower energy



ratio of  
muons to  
electrons is  
1:1, not  
expected 2:1!

higher energy



more upward-  
going muons  
are missing  
than  
downward-  
going!

## *Interpretation of Super-K results*

Muon neutrinos are missing, mostly at lower energy and at longer distances.

This is a signature of oscillation!

This is probably  $\nu_\mu \rightarrow \nu_\tau$  oscillation. The tau neutrinos don't have enough energy to interact in Super-K, since it takes a lot more energy to make a tau, so the  $\nu_\tau$  just don't interact.



# 2015 Nobel Prize in Physics



Takaaki  
Kajita

Art  
McDonald



Nobel  
Prize

SNO  
Detector

# *Conclusions*

- Neutrinos are elusive and light ... but thanks to SNO and a lot of hard work, we know they're not massless.
- The Sudbury Neutrino Observatory was a massive effort that made Canada a leader in neutrino research and underground science, by resolving a 30-year old puzzle involving the Sun.

